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22 March 2004

Date of Deposit

C. Noel Kaman

Name of Applicant, Assignee or  
Registered Representative

*C. Noel Kaman*

Signature

22 March 2004

Date of Signature

Our Case No.: 10466/485  
P2548P1C11-C1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Baker et al.

Serial No.: 10/677,669

Filing Date: October 1, 2003

For: Secreted and transmembrane  
polypeptides and nucleic acids  
encoding the same

Examiner: To Be Assigned

Group Art Unit No.: To Be Assigned

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:



FORM PTO-1449	SERIAL NO. 10/677,669	CASE NO. 10466/485 P2548P1C11-C1
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE 10/1/2003	GROUP ART UNIT To Be Assigned
(use several sheets if necessary)	APPLICANT(S): Baker et al.	

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO

EXAMINER INITIAL	OTHER ART - NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.</small>	
	A1	Baker, KP, et al, GenBank Accession No. P_ABT44277, 8/28/2002
	A2	Baker, KP, et al, GenBank Accession No. P_ACA04357, 8/30/2001
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	B9	Baker, KP, et al, GenBank Accession No. P_ADC21823, 8/29/2002
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	B14	Baker, KP, et al, GenBank Accession No. P_ADB72995, 8/12/2002
	B15	Baker, KP, et al, GenBank Accession No. P_ADB83840, 8/9/2002
	B16	Baker, KP, et al, GenBank Accession No. P_ADB84725, 8/14/2002
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FORM PTO-1449	SERIAL NO. 10/677,669	CASE NO. 10466/485 P2548P1C11-C1
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE 10/1/2003	GROUP ART UNIT To Be Assigned
(use several sheets if necessary)		APPLICANT(S):

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.	
	B116	Ashkenazi, AJ, et al, GenBank Accession No. P_ABU82571, 11/14/2001
	B117	Ashkenazi, AJ, et al, GenBank Accession No. P_ABU59059, 11/14/2001
	B118	Ashkenazi, AJ, et al, GenBank Accession No. P_ABU57981, 11/15/2001
	B119	Holtzman, DA, et al, GenBank Accession No. P_ABG78042, 2/13/2001
	B120	Eaton, DL, et al, GenBank Accession No. P_ABG95858, 12/6/2001
	B121	Doshi, PD, et al, GenBank Accession No. P_AAU75266, 8/10/2001
	B122	Ashkenazi, AJ, et al, GenBank Accession No. P_AAB65166, 3/30/2000
	B123	Eaton, DL, et al, GenBank Accession No. P_AAB87533, 8/24/2000
	B124	Holtzman, D A, GenBank Accession No. P_AAB07428, 4/21/1999
	B125	Clark, HF, GenBank Accession No. AAQ88666, 8/1/2003
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	B127	Holloway, JL, et al, GenBank Accession No. P_AAB84689, 12/14/2000
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	B130	Rosen, CA and Ruben, SM, GenBank Accession No. P_ADA41092, 3/19/2002
	B131	Rosen, CA and Ruben, SM, GenBank Accession No. P_ADA57213, 3/19/2002
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	B135	Strausberg, R, GenBank Accession No. AAH13767, 9/4/2001
	B136	Baker, KP, et al, GenBank Accession No. P_AAY666463, 6/2/1999

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In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). Furthermore, no item in this

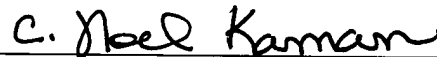
Information Disclosure Statement is admitted as being prior art, whether dated before or after the filing date of the instant application (Ser. No. 10/677,669).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

This application is a continuation application of U.S. Serial No. 09/944,396, filed August 30, 2001, which is relied upon for an earlier filing dated under 35 U.S.C. § 120.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,



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